

**Asset Selection and Allocation Exception Acknowledgement**

I, \_\_\_\_\_, the undersigned:

1. UNDERSTAND that the asset selection and allocation recommendations made by Barita Investments Limited ("Barita") are based upon the information supplied by me. The information provided is used for suitability assessment of my portfolio holdings across all of the accounts with Barita where I am the primary account holder/sole proprietor;
2. AGREE to promptly notify Barita of any change in my personal information or financial circumstance or otherwise that may alter my investment objectives, risk tolerance or other information that could affect my investment profile;
3. HAVE DISCUSSED with a Barita representative, my selected asset allocation based on Barita's risk profile tool along with my objectives, time horizon and risk tolerance, and acknowledge that it is different from that recommended by Barita. I am satisfied with my selected asset allocation for my portfolio holdings, and I understand that I take full responsibility for the portfolio holdings' performance hereafter. I am aware of the risks inherent in investing in an asset class (es) in greater proportions than recommended by Barita; as a portfolio with less inherent risks, or a portfolio with more inherent risks may affect the investment returns, portfolio value and other factors, and as such may result in performance other than intended. I understand that I may lose a portion of, or the entire amount which I have invested based on my portfolio allocation and depending on the performance of the underlying security (ies). I hereby confirm that I made this decision to invest in these securities of my own will and volition;
4. AGREE TO FOREVER INDEMNIFY AND HOLD HARMLESS Barita and its officers, employees, representatives, agents and any person associated therewith from and against any all liabilities, obligations, losses, damages, penalties, actions, judgments, claims, rights, causes of actions, costs, expenses and disbursements of any kind or nature whatsoever which may be suffered by imposed on, incurred by, or asserted against them, in any manner resulting from, relating to or arising directly or indirectly, from my portfolio allocation which now or hereafter exist that I and/or my representatives, any other account holder, executors, administrators, trustees and/or assigns now have or may have in the future ;and
5. WARRANT AND REPRESENT that I have carefully read this Acknowledgement, fully understand its significance and that it will be legally binding and I freely and with due capacity agree to all of its terms.

\_\_\_\_\_  
Customer Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Barita Representative

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date