



ACCOUNT OPENING FORM (INDIVIDUAL)

ACCOUNT TYPE: Individual Joint NEW CLIENT EXISTING CLIENT

FIRST NAME	MIDDLE NAME	LAST NAME	CIF # <i>(Official Use ONLY)</i>

ACCOUNT MAILING & EMAIL ADDRESS

Account primary mailing address: _____

Account primary email address: _____

INVESTMENT PRODUCTS & SERVICES

Unit Trust Equity Bonds Repurchase Agreement Other: _____

EXPECTED ACTIVITY

INITIAL SOURCE OF FUNDS: _____ INITIAL AMOUNT: _____

SOURCE OF ONGOING FUNDING: _____

Projected Level of Activity:	Under \$100,000	\$101,000 – \$300,000	\$301,000 – \$600,000	\$601,000 – 999,000	Over \$1,000,000
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Frequency of Deposit:	Weekly	Monthly	Quarterly	Semi-Annually	Annually
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If Gift, please state Giver's name: _____ Relationship: _____ Provide ID (Proof of income may be required)

Will you be sending or receiving wires on this account? No Yes

THIRD PARTY DETERMINATION

Will this account be used to conduct business on behalf of someone other than the named applicants?
No Yes, provide details of the third party below

Last name: _____ First name: _____ Middle name: _____

Date of Birth (DD/MM/YY): _____ Principal Business/Occupation: _____

Relationship between third party & client: _____

PERMANENT ADDRESS	PREVIOUS ADDRESS

INVESTMENT MANAGEMENT AUTHORITY

- Full Discretion** (Client grants full authority to BARITA to act without consultation)
- Partial Discretion** (Client must be contacted before execution of any trade or transaction)
- Custody** (No discretion to trade. Assets are for safe-keeping and reporting only)

JOINT RELATION

Open account as:

- And** (All parties to account must sign in order to transact on this account)
- Or** (Any party to the account can transact business without the signature(s) of the others)

NOTE: In the event there is more than one client named on an account held with the organization, each named account holder shall be entitled to give instructions on any and all matters with respect to the account unless indicated otherwise via mandate to us.

ONLINE ACCESS

Kindly indicate your interest in accessing your account online **Interested** **Not Interested**

INVESTMENT OBJECTIVES

- Wealth Accumulation** **Income** **Retirement/Pension** **Major Purchase**
- Other:** _____

ELECTRONIC COMMUNICATION INDEMNITY

I/We hereby request that BARITA (which term shall, as applicable include Barita Investments Limited and/or Barita Unit Trusts Management Company Limited) accept instructions and communications from me/us by facsimile and electronic mail and in consideration of BARITA doing so I/we hereby agree as follows: (a) that BARITA may (in its discretion) act on electronic communications made by me/us from time to time and I/we voluntarily and with full knowledge take and assume any and all risks associated therewith; (b) that once electronic communications are sent to BARITA by me/us, BARITA shall have no obligation to check or verify the authenticity or accuracy of electronic communications purporting to have been sent by me/us save and except that they have originated from the electronic mail address, facsimile or telephone number provided by me/us to BARITA and BARITA may act thereon as if same had been duly given by me/us; (c) that in acting on such electronic communications, BARITA shall be deemed to have acted properly and to have fully performed all obligations owed to me/us, notwithstanding that such electronic communications may have been initiated, sent or otherwise communicated in error or fraudulently, and I/we shall be bound by such instructions on which BARITA may act, if BARITA has in good faith acted in the belief that such electronic instructions were given by me/us; (d) that BARITA may, in its absolute discretion, decline to act on or in accordance with the whole or any part of an electronic communication pending further enquiry or further confirmation (whether written or otherwise) by me/us, so however that BARITA shall not be under any obligation to so decline in any case, and BARITA shall in no event or circumstances be liable in any respect for not so declining; (e) that communications sent by electronic means can sometimes only be carried out during the normal business hours of BARITA; (f) that BARITA will not be required to act on electronic communications unless they are sent from an electronic mail address, facsimile or telephone number previously notified to BARITA and (g) to release BARITA from and indemnify BARITA against all claims, losses, damages, costs and expenses howsoever arising in consequence of, or in any way related to BARITA having acted in accordance with the whole or any part of any electronic communication or having exercised (or failed to exercise) the discretion conferred upon BARITA hereunder.

Select mode of communication: **Email** **Fax** **Telephone** **All** **None**

NB: This Indemnity shall NOT apply to joint accounts where 2 or more persons are to sign or where there is special instruction

MAILING INSTRUCTION

Send to mailing address **Send to:** _____

Hold Mail **Fax** **Email**

DECLARATION

I/We hereby request that Barita Investments Limited ("BARITA") open the account(s) specified above in my/our names. I/We understand that the information provided herein is the basis for opening such account(s) and I/we warrant that such information is accurate in all respects. In consideration of BARITA opening the said accounts, I/we agree to provide any documents and further information requested by BARITA on the opening of the account(s) or from time to time thereafter and to abide by BARITA's requirements and all laws and regulations concerning the said account(s). I/We confirm that the Terms and Conditions governing the operation of the account(s) hereby requested to be opened, have been made available to me/us and I/we have read, understood and agree to be bound by such Terms and Conditions as amended from time to time. I/We agree to indemnify and hold BARITA, its employees, directors and its affiliated companies harmless in respect of any loss I/we may suffer as a result of my/our failure to comply with the aforementioned Terms and Conditions. I/We further agree that BARITA shall be entitled to close my account forthwith if it deems the information provided herein to be insufficient or inaccurate, in the event of any breach of the aforementioned Terms and Conditions or any laws with respect to the said account(s) or for any other lawful reason whatsoever

SIGNATURE CARD

PRIMARY APPLICANT

Name: _____

Signature: _____

Date: _____

JOINT APPLICANT 1

Name: _____

Signature: _____

Date: _____

JOINT APPLICANT 2

Name: _____

Signature: _____

Date: _____

JOINT APPLICANT 3

Name: _____

Signature: _____

Date: _____

JOINT APPLICANT 4

Name: _____

Signature: _____

Date: _____

JOINT APPLICANT 5

Name: _____

Signature: _____

Date: _____

OFFICIAL USE ONLY

Advisor Name:

Advisor Signature:

Date:

Approver Name:

Approver Signature:

Date: